Investigation of the Performance of of Synchronous Generators Equipped with Nonlinear Excitation Controller

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Abstract—Investigation of the dynamic performance of a synchronous generator connected to an infinite bus (SMIB) system is carried out in this paper. The generator is equipped with a nonlinear excitation control law based on the concepts of geometric homogeneity and feedback linearization. A new positive parameter, called the dilation gain, is introduced in the control law for improved damping of oscillations and better dynamic performance. Two models of the system are employed for the study, and a disturbance in form of a network fault with varied durations is applied to test the performance of the system. Simulation results as well as MATLAB® code for testing for exact linearization of an affine nonlinear system are provided.

Index Terms—exact linearization, fault cycles, finite-time stability, homogeneity, nonlinear control laws, power system model

1 INTRODUCTION

A typical modern power system generally consists of a large number of generating electric power sources (mostly synchronous generators) interconnected through complex networks of transmission lines with myriad automatic and protection equipment pieces for the sole purpose of meeting the power demands of a large number of different loads. It has thus been considered as “...a high-order multivariable system whose dynamic response is influenced by a wide array of devices with different characteristics and response rates” [1]. In this complex and highly dimensioned system, in order to accommodate more load demands and provide a constant and reliable electric power supply, power system controllers are employed (and constantly being encouraged) at the generation, transmission and distribution levels to deliver electric power to the load centers efficiently. Besides, generator excitation system controllers have been recognized as one of the most reliable and economic way of damping power system oscillations and improving the overall system stability [2]. Local plant and inter-area mode oscillations occur in power systems, and pose major challenges to power system control engineers. These oscillations are usually caused by lack of sufficient generator rotor damping torque (and this phenomenon characterized the earliest exciter/AVR due to the increase in bandwidth associated with the AVR loop) [3], [4].

The challenges become more stringent as power systems undergo changes due to network alterations (caused by faults or switching events) and/or variations in loads. Owing to the availability of powerful and low-cost computing resources, which has spurred the design, development, investigation, and complete analysis of nonlinear control algorithms, as is evident in many practical implementations [5], [6], [7], there has been dedicated research in feedback linearization control (FBLC)—a significant area of application of nonlinear control techniques for power system stabilization. FBLC involves complete or partial transformation of nonlinear systems into equivalent linear ones that are amenable to linear control design techniques [8], [9]. Several versions of FBLC have been applied to the design of power system excitation control [10], [11], [12], [13]. For instance, Gan et al. [11] proposed an improved FBLF using a linear optimal state-space feedback and saturation-type nonlinear robust control strategies—here rotor angle oscillations were damped out in about 15s after perturbing system under the action of the proposed controller. More recently, Mahmoud et al. [14] proposed a zero dynamics-based excitation controller which was able to remove (in about 1.8-2s) rotor angle oscillations due to a three-phase fault that lasted for about 0.2s. In this paper, a combination of the concepts of geometric homogeneity and feedback linearization is employed to construct a nonlinear excitation controller which has the ability to damp out rotor angle oscillations within 2s for a three-phase fault with as much as 0.3-s duration.

In the rest of the paper, Section 1 presents the model of the power system for the study, while the construction of the controller is described in Section 3. In Section 4, results of system simulations are provided and discussed, and the concluding comments are given in Section 5.

2 POWER SYSTEM MODEL

Two models of the power system, based on the single machine connected to an infinite bus (SMIB) system shown in Fig. 1, are employed for the work presented in this paper. They are the one-axis (third-order) and two-axis (fourth-order) models which give approximate descriptions of the dynamic performance equations of the system. The latter is particularly considered to further show the performance of the controller under identical network conditions imposed on the former.

The third-order model is given by [15], [16]

\[
\frac{d\delta}{dt} = \omega - \omega_s \\
\frac{d\omega}{dt} = A_1 + \frac{r_2}{2} \sin 2\delta - A_4 V_E q \sin \delta - T_0 - \frac{P_2}{M} V_p^2 \cos^2 \delta \left( \omega - \omega_s \right) \\
\frac{dV_E}{dt} = -B_1 E_q + B_2 V_E \cos \delta + \frac{1}{T_d E_q} E_f
\]

while the fourth-order model is given by [15], [17], [18]

\[
\frac{d\delta}{dt} = \omega - \omega_s \\
\frac{d\omega}{dt} = \frac{T_m}{M} - \frac{1}{2} A_1 V_E^2 \sin 2\delta + A_2 V_E d \cos \delta - A_3 V_E q \sin \delta
\]
\[
\begin{align*}
\frac{dE_c}{dt} &= -B_1E_q + B_2V\cos\delta + \frac{1}{\tau_{do}}E_d \\
\frac{dE_d}{dt} &= -\frac{E}{\tau_{qe}} + \frac{E}{\tau_{qe}}V\sin\delta,
\end{align*}
\]
where \(\delta\) is the rotor or torque angle in radians, \(\omega\) is the rotor speed in radians/s, \(E_q\) is the q-axis voltage which is proportional to the field winding flux linkage, \(E_d\) is the d-axis voltage which is proportional to the amortisseur winding flux linkage, \(E_r\) represents the excitation coil voltage, \(V\) is the magnitude of the voltage of the infinite bus, \(\omega_s\) is the synchronous speed of the generator, \(T_m\) is the input torque, and \(M = (2H/\omega_s)\) is the moment of inertia, where \(H\) is the generator inertia constant in seconds.

The parameters \(A1-A3, B1, B2, E1, E2,\) and \(E3\) are defined as follows:
\[
\begin{align*}
A_1 &= \left(\frac{1}{x_q^o+x_q^e} - \frac{1}{x_q^o+x_q^e}\right) \frac{1}{M} \quad A_2 = \left(\frac{1}{x_q^o+x_q^e}\right) \frac{1}{M} \quad A_3 = \left(\frac{1}{x_q^o+x_q^e}\right) \frac{1}{M} \\
B_1 &= \frac{(x_q^o+x_q^e)}{\tau_{do}(x_q^o+x_q^e)} \quad B_2 = \frac{(x_q^o+x_q^e)}{\tau_{do}(x_q^o+x_q^e)} \\
E_1 &= \frac{(x_q^o+x_q^e)}{(x_q^o+x_q^e)} \quad E_2 = \frac{(x_q^o+x_q^e)}{(x_q^o+x_q^e)} \\
F_1 &= \frac{(x_q^o-x_q^e)}{(x_q^o+x_q^e)} \quad F_2 = \left(\frac{1}{x_q^o+x_q^e} - \frac{1}{x_q^o+x_q^e}\right) \frac{1}{M} \quad F_3 = \left(\frac{1}{x_q^o+x_q^e}\right)
\end{align*}
\]

Fig. 1. Representation of a SMIB

### 3 CONTROL LAW CONSTRUCTION

Consider an affine nonlinear power system represented by the model
\[
\dot{x} = f(x, t) + g(x)u,
\]  
where \(x\) is the state system vector, \(f\) and \(g\) are continuously differentiable functions, and \(u\) is the control signal. The control law construction consists in 1) obtaining an output signal such that the system can be exactly or partly feedback-linearized, and that the system internal dynamics, if any, remain asymptotically stable; and 2) deriving a nonlinear control law, \(u\), that will ensure that the output signal becomes zero in finite time and remains so thereafter under both normal and disturbance-induced conditions.

#### 3.1 System Linearization

Using a suitable system output function \(y(x)\), the system given in equation (8) can be exactly linearized into the Brunovsky normal form as
\[
\begin{align*}
\frac{dz_1}{dt} &= z_2 \\
\frac{dz_2}{dt} &= z_3 \\
\frac{dz_n}{dt} &= h, \\
\end{align*}
\]
with \(h\) given by
\[
h = f(z) - L_f^qy + L_q^dL_q^{-1}yu,
\]
where \(n\) is the order of the system, and \(L_q^dL_q^{-1}y\) represents the Lie derivative of \(Ly\) along the function \(g(x)\).

The exact linearization condition can be determined for any affine SISO nonlinear system using the flowchart shown in Fig. 2 as well as the MATLAB code given in Appendix A (which can be readily extended to a MIMO system). The chart is based on Definition 1 given below.

**Definition 1** [19]: Consider the nonlinear system in equation (8). The system can be exactly linearized if its order \(n\) equals its relative degree \(r\). This condition is satisfied if the matrix
\[
P = \begin{bmatrix} g(x) & ad_{g(x)} & ad_{g(x)}^2 & \cdots & ad_{g(x)}^{n-1} & g(x) \end{bmatrix}
\]
has rank \(n\) near the system operating point, \(x_0\), and the matrix
\[
D = \begin{bmatrix} g(x) & ad_{g(x)} & ad_{g(x)}^2 & \cdots & ad_{g(x)}^{n-2} & g(x) \end{bmatrix}
\]
involutes at \(x = x_0\). The involutivity condition is that matrix \(D\) and any of its variant
\[
D_{i} = \begin{bmatrix} g(x) & \cdots & ad_{g(x)}^{n-i} \end{bmatrix} [ad_{g(x)},ad_{g(x)}^i] \]
have rank \(n-i\), where \(i = 1, 2, \ldots, n-2, j = 1, 2, \ldots, n-2, i \neq j\).

The symbol \(ad_{g(x)}\) or \([f(x),g(x)]\) is called the Lie bracket of \(g(x)\) along \(f(x)\), and \(ad_{g(x)} = ad_{f}(ad_{g(x)}^{-1}g(x))\).

Various output functions (measurable and/or convenient) can be chosen and then tested using the MATLAB code.

#### 3.2 System Controller Derivation

The overall control law is now obtained based on the concept of geometric homogeneity. Simply stated, homogeneity is the feature of functions and vector fields associated with dynamic systems, which guarantees their transformation (dilation) from one point to another in the state space.

Generally, system dilation is in the form
\[
\Delta_{x}(z) = (e^{\alpha x_{1}}, e^{\alpha x_{2}}, \ldots, e^{\alpha x_{n}}),
\]
which is an extension of the standard dilation [20]
\[
\Delta_{x}(z) = (e^{\alpha x_{1}}, e^{\alpha x_{2}}, \ldots, e^{\alpha x_{n}}).
\]
Therefore, if the system given in equations (9)-(11) is dilated, then \(h\) in equation (12) becomes
\[
h = f(e^{\alpha x}) = f(z).
\]
This concept is employed to modify the finite-time stabilizing feedback controller presented in [20] (Proposition 8.1), and given as follows: Consider the system defined in equations (9)-(11). There exists a feedback control law
\[
h(z) = -k_1\text{sign}(e_{1})e_{1} - k_2\text{sign}(e_{2})e_{2} - \cdots - k_n\text{sign}(e_{n})e_{n},
\]
which ensures that the origin is globally finite-stable, where \(e_{i} > 0\) is the dilation gain. The positive numbers \(k_1, k_2, \ldots, k_n\) are appropriately selected such that the polynomial
\[
p^r + k_1p^{r-1} + k_2p^{r-2} + k_3
\]
is Hurwitz.

\(v_1, v_2, \ldots, v_r\) are found from
\[
\begin{align*}
\nu_{i+1} &= \frac{v_{i+1}}{v_i}, & i = 2, 3, \ldots, r
\end{align*}
\]
with
\[
v_{r+1} = 1; \quad v_i \in (1 - \varepsilon, 1); \quad \varepsilon \in (0, 1).
\]
Thus, by combining equations (12) and (19), the control law yields
\[
u = \frac{h(z)e^{\alpha (x_{1})} - L_{f_{e}y}}{L_{f_{e}y}^{i}},
\]
where \(\Phi(x)\) is a diffeomorphism which maps the system from \(x\)-domain into \(z\)-domain and vice versa.

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4 SIMULATION RESULTS AND DISCUSSION

The graphical results showing the system variables for various
cycles of a three-phase symmetrical fault applied at the generator
terminals are displayed in Figs. 3-6. The waveforms indicate a
performance comparison of the system in terms of dilation (with
the gain of 5) and non-dilation (with the gain of unity) of the con-
trol signal. The values of all the system parameters, including the
controller parameters, are provided in Appendix B.

In all the figures, it is clear that the effect of a positive multipli-
cative gain is to minimize oscillations, thereby improving the sys-
tem damping—in particular, Fig. 3(a) and Fig. 4(a) show this ef-
fect more clearly, as the control activity (displayed in Fig. 3(d)
and Fig. 4(d)) reflects. But, as Fig. 5 depicts, the dilation gain
weakens the controller ability to make the system withstand
longer fault duration, such as 15cycles. As long as the fault cycle
does not exceed 14.5cycles (0.29s), which is relatively high, the
effect of the gain remains constructive (see Fig 4). To further high-
light the benefit of the gain, Fig. 6 shows a similar set of wave-
forms for a fourth-order SMIB system subjected to the same fault
for duration of 0.18s.
Fig. 4: Waveforms showing the effect of the dilation gain for a generator terminal fault cleared after 14.5 cycles (third-order SMIB)

Fig. 5: Waveforms showing the effect of the dilation gain for a generator terminal fault cleared after 15 cycles (third-order SMIB)
5 CONCLUSION

Investigation of the performance of synchronous generators equipped with nonlinear excitation controller constructed based on the concepts of geometric homogeneity and feedback linearization has been the thrust of this paper. Waveforms demonstrating the controller performance when the system is disturbed are shown. It is established that dilating the control signal has the benefit of enhancing system damping. It is important to mention that realizing this type of excitation control system will require the use of fast power electronic devices.

Appendix A

MATLAB Code for Testing the Exact Linearization Condition for a General Affine Nonlinear System

% This function OutputResult=ELCOND(f,G,S) is used to determine the exact linearization of a general affine nonlinear SISO system dX/dt=f(X)+g(X)u, where X represents the states (x1, x2, ..., xn) of the system. F, G, and S are symbolic expressions for f(x), g(x), and the states, respectively. OutputResult is a vector of string elements stating whether the system can be exactly linearized or not. Note that the order of the system must be at least 2. ALSO, NOTE THAT THE STATES IN F AND G APPEAR AS x1, x2, x3, ..., xn. WITH THESE, OF COURSE, HAVING BEEN DEFINED AS SYMBOLIC VARIABLES. % For example, the system dx(1)/dt=x(1)sin(x(2))+20x(1)-2u and dx(2)/dt=cos(x(1))+10u having steady-state values x0=0.5 and x0=2 is created as: sym x1 x2 f g;
% f=[x1*sin(x2)+ 20*x1*cos(x1)+10]; g=[-2 10]; x=x1*x2;
Function OutputResult=elcond(f,g,x)
sysorder=length(f); d=sysorder-1;
m=zeros(sysorder,sysorder); dd=zeros(sysorder,d);
M=sym(m); D=sym(dd);
f_diff=jacobian(f,x);
M(:,1)=g;
% Compute the elements of M for k=2:sysorder
M(:,k)=(jacobian(M(:,k-1),x)*f)-(f_diff*M(:,k-1));
end
% Compute the elements of D and De if d==1;
D(:,d)=g;
else
for i=2:d;
D(:,i)=M(:,i);
end
D(:,1)=g;
De=D;
De(:,sysorder)=jacobian(D(:,2),x)*D(:,1)-jacobian(D(:,1),x)*D(:,2);
end
% Check for the exact linearization conditions
input(' input the n steady-state values as: x1 = ; x2 = ; x3 = ; ... ; xn = ;')
input('input the system parameters if any or press the return key')
M_comp=subs(M);D_comp=subs(D);De_comp=subs(De);
M_rank=rank(M_comp);D_rank=rank(D_comp);De_rank=rank(De_comp);
if d==1;
if M_rank==sysorder;
OutputResult='The system can be exactly linearized, i.e., there is an output function that makes the system relative to the system order';
else
OutputResult='The system cannot be exactly linearized, i.e., an

Fig. 6: Waveforms showing the effect of the dilation gain for a generator terminal fault cleared after 9 cycles (fourth-order SMIB)
output function does not exist to make the system relative equal to the system order
end
else
if M_rank==sysorder && D_rank==De_rank;
OutputResult=The system can be exactly linearized, i.e., there is
an output function that makes the system relative degree equal to
the system order;
else
OutputResult=The system cannot be exactly linearized, i.e., an
output function does not exist to make the system relative degree
equal to the system order;
end

Appendix B

Typical values for the system parameters employed for this
study are given in Table 1 below [16], [17].

Table 1. SMIB Typical Parameters

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Synchronous reactance:</td>
<td>$X_d = 0.9 \text{ p.u.}$; $X_q = 0.7 \text{ p.u.}$</td>
</tr>
<tr>
<td>Transient reactance:</td>
<td>$X'<em>{d} = 0.2 \text{ p.u.}$; $X'</em>{q} = 0.2 \text{ p.u.}$</td>
</tr>
<tr>
<td>Open-circuit transient time constant:</td>
<td>$T_{do} = 5.00 \text{ s}$; $T_{qo} = 0.13 \text{ s}$</td>
</tr>
<tr>
<td>Inertial constant:</td>
<td>$H = 5.00 \text{ s}$</td>
</tr>
<tr>
<td>Input torque:</td>
<td>$T_m = 0.8413$</td>
</tr>
<tr>
<td>Transmission line reactance:</td>
<td>$X_E = 0.24 \text{ p.u.}$</td>
</tr>
<tr>
<td>Transformer reactance:</td>
<td>$X_T = 0.13 \text{ p.u.}$</td>
</tr>
<tr>
<td>Infinite-bus voltage magnitude:</td>
<td>$V = 1.0 \text{ p.u.}$</td>
</tr>
</tbody>
</table>

Also, the parameters $k_1$, $k_2$, and $k_3$ of $h(ez)$ given in equation
(19) are found using the pole-placement method from

$$p^3 + k_3 p^2 + k_2 p + k_1 = (p + a_1)(p + a_2)(p + a_3) = 0$$

(A1)

where $a_1 = 9$, $a_2 = 5$, and $a_3 = 2$. Thus, $k_1 = 90$, $k_2 = 73$, and $k_3$
= 16; the value of parameter $v_3$, from which $v_1 = 1/2$ and $v_2 = 3/5$ are obtained, is 3/4. The value of the dilatation constant $e$ is 5.

REFERENCES


